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NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application.

| | |
|------------------------|-----------------------|
| Application Number | 29/885,799 |
| Filing Date | February 28, 2023 |
| First Named Inventor | CARHART, Kenneth C. |
| Title | TIMBER MOISTURE GUARD |
| Art Unit | |
| Examiner Name | |
| Attorney Docket Number | 0470-003D01 |

SIGNATURE of Applicant or Patent Practitioner

| | | | |
|--|--------------------|---------------------|------------|
| Signature | /Dennis R. Haszko/ | Date (Optional) | 03/02/2023 |
| Name | Dennis R. Haszko | Registration Number | 39,575 |
| Title (if Applicant is a juristic entity) | | | |
| Applicant Name (if Applicant is a juristic entity) | | | |

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for signature requirements and certifications. If more than one applicant, use multiple forms.



*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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I hereby revoke all previous powers of attorney given in the application identified in either the attached transmittal letter or the boxes below.

Application Number

Filing Date

(Note: The boxes above may be left blank if information is provided on form PTO/AIA/82A.)



I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above:

16404

OR



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Individual Name

Address

City

State

Zip

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Email

I am the Applicant (if the Applicant is a juristic entity, list the Applicant name in the box):

ROTBLOC LLC



Inventor or Joint Inventor (title not required below)



Legal Representative of a Deceased or Legally Incapacitated Inventor (title not required below)



Assignee or Person to Whom the Inventor is Under an Obligation to Assign (provide signer's title if applicant is a juristic entity)



Person Who Otherwise Shows Sufficient Proprietary Interest (e.g., a petition under 37 CFR 1.46(b)(2) was granted in the application or is concurrently being filed with this document) (provide signer's title if applicant is a juristic entity)

SIGNATURE of Applicant for Patent

The undersigned (whose title is supplied below) is authorized to act on behalf of the applicant (e.g., where the applicant is a juristic entity).

Signature

Date (Optional)

Name

Title

CEO

NOTE: Signature - This form must be signed by the applicant in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. If more than one applicant, use multiple forms.

☒ Total of 1 forms are submitted.

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Electronic Acknowledgement Receipt

| | |
|---|------------------------------|
| EFS ID: | 47619413 |
| Application Number: | 29885799 |
| International Application Number: | |
| Confirmation Number: | 2562 |
| Title of Invention: | TIMBER MOISTURE GUARD |
| First Named Inventor/Applicant Name: | Kenneth C. CARHART |
| Customer Number: | 16404 |
| Filer: | Dennis R. Haszko/Tammy Bunch |
| Filer Authorized By: | Dennis R. Haszko |
| Attorney Docket Number: | 0470-003D01 |
| Receipt Date: | 02-MAR-2023 |
| Filing Date: | |
| Time Stamp: | 16:25:12 |
| Application Type: | Design |

Payment information:

| | |
|------------------------|----|
| Submitted with Payment | no |
|------------------------|----|

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|--|--|------------------|------------------|
| 1 | Power of Attorney | 0470_003D01_20230302_POA _and_Transmittal.pdf | 689820 | no | 2 |
| | | | 58a0dce987dc02540ca5cc10207f0f98061c50c5 | | |

Warnings:

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| Information: | |
| Total Files Size (in bytes): | 689820 |
| <p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p> | |

SCORE Placeholder Sheet for IFW Content

Application Number: 29885799

Document Date: 02/28/2023

The presence of this form in the IFW record indicates that the following document type was received in electronic format on the date identified above. This content is stored in the SCORE database.

Since this was an electronic submission, there is no physical artifact folder, no artifact folder is recorded in PALM, and no paper documents or physical media exist. The TIFF images in the IFW record were created from the original documents that are stored in SCORE.

- Drawing

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| | | |
|---|-------------------------------------|-----------------------|
| DESIGN PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. | 0470-003D01 |
| | First Named Inventor | CARHART, Kenneth C. |
| | Title | TIMBER MOISTURE GUARD |
| | Priority Mail Express® Label No. | |

ADDRESS TO:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DESIGN V. UTILITY: A "design patent" protects an article's ornamental appearance (e.g., the way an article looks) (35 U.S.C. 171), while a "utility patent" protects the way an article is used and works (35 U.S.C. 101). The ornamental appearance of an article includes its shape/configuration or surface ornamentation upon the article, or both. Both a design and a utility patent may be obtained on an article if invention resides both in its ornamental appearance and its utility. For more information, see MPEP § 1502.01.

| APPLICATION ELEMENTS <i>See MPEP chapter 1500 concerning design patent application contents.</i> | ACCOMPANYING APPLICATION PARTS |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/17 or equivalent)</p> <p>2. <input checked="" type="checkbox"/> Applicant asserts small entity status. See 37 CFR 1.27</p> <p>3. <input type="checkbox"/> Applicant certifies micro entity status. See 37 CFR 1.29. Applicant must attach form PTO/SB/15A or B or equivalent.</p> <p>4. <input checked="" type="checkbox"/> Specification [Total Pages <u>2</u>] (preferred arrangement set forth below, MPEP § 1503.01) - Preamble - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Description of the figure(s) of the drawings - Feature description - Claim (only one (1) claim permitted, MPEP § 1503.03)</p> <p>5. <input checked="" type="checkbox"/> Drawing(s) [Total Sheets <u>4</u>] See 37 CFR 1.152</p> <p>6. Inventor's Oath or Declaration [Total Pages <u>5</u>] (including substitute statements under 37 CFR 1.64 and assignments serving as an oath or declaration under 37 CFR 1.63(e)) a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> A copy from a prior application (37 CFR 1.63(d))</p> <p>7. <input checked="" type="checkbox"/> Application Data Sheet * See note below. See 37 CFR 1.76 (PTO/AIA/14 or equivalent)</p> | <p>8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>9. <input checked="" type="checkbox"/> 37 CFR 3.73(c) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS) (PTO/SB/08 or PTO-1449) <input type="checkbox"/> Copies of foreign patent documents, publications, and other information</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input type="checkbox"/> Return Receipt Postcard (MPEP § 503) (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input type="checkbox"/> Request for Expedited Examination of a Design Application (37 CFR 1.155) (NOTE: Use "Mail Stop Expedited Design")</p> <p>16. <input type="checkbox"/> Other: _____ _____ _____</p> |

***Note:** (1) Benefit claims under 37 CFR 1.78 and foreign priority claims under 1.55 **must** be included in an Application Data Sheet (ADS).
(2) For applications filed under 35 U.S.C. 111, the application must contain an ADS specifying the applicant if the applicant is an assignee, person to whom the inventor is under an obligation to assign, or person who otherwise shows sufficient proprietary interest in the matter. See 37 CFR 1.46(b).

| 17. CORRESPONDENCE ADDRESS | | | | | |
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| Name | | | | | |
| Address | | | | | |
| City | State | | | Zip Code | |
| Country | Telephone | | | Email | |
| Signature | /Dennis R. Haszko/ | | | Date | 02/28/2023 |
| Name (Print/Type) | Dennis R. Haszko | | | Registration No. (Attorney/Agent) | 39,575 |

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If you do not furnish the information requested on this form, the USPTO may not be able to process and/or examine your submission, which may result in termination of proceedings, abandonment of the application, and/or expiration of the patent.

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Electronic Patent Application Fee Transmittal

Application Number:

Filing Date:

Title of Invention:

TIMBER MOISTURE GUARD

First Named Inventor/Applicant Name:

Kenneth C. CARHART

Filer:

Dennis R. Haszko

Attorney Docket Number:

0470-003D01

Filed as Small Entity

Filing Fees for Design

| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|--------------------------------------|----------|----------|--------|----------------------|
| Basic Filing: | | | | |
| DESIGN APPLICATION FILING | 2012 | 1 | 88 | 88 |
| DESIGN OR DESIGN CPA SEARCH FEE | 2112 | 1 | 64 | 64 |
| DESIGN OR DESIGN CPA EXAMINATION FEE | 2312 | 1 | 256 | 256 |

Pages:

Claims:

Miscellaneous-Filing:

Petition:

Patent-Appeals-and-Interference:

| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|-----------------------------------|----------|----------|--------|----------------------|
| Post-Allowance-and-Post-Issuance: | | | | |
| Extension-of-Time: | | | | |
| Miscellaneous: | | | | |
| Total in USD (\$) | | | | 408 |

Electronic Acknowledgement Receipt

| | |
|---|------------------------------|
| EFS ID: | 47606945 |
| Application Number: | 29885799 |
| International Application Number: | |
| Confirmation Number: | 2562 |
| Title of Invention: | TIMBER MOISTURE GUARD |
| First Named Inventor/Applicant Name: | Kenneth C. CARHART |
| Customer Number: | 16404 |
| Filer: | Dennis R. Haszko/Tammy Bunch |
| Filer Authorized By: | Dennis R. Haszko |
| Attorney Docket Number: | 0470-003D01 |
| Receipt Date: | 28-FEB-2023 |
| Filing Date: | |
| Time Stamp: | 23:02:02 |
| Application Type: | Design |

Payment information:

| | |
|--|------------------|
| Submitted with Payment | yes |
| Payment Type | CARD |
| Payment was successfully received in RAM | \$ 408 |
| RAM confirmation Number | E20232RN03009417 |
| Deposit Account | 505676 |
| Authorized User | Tammy Bunch |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.21 (Miscellaneous fees and charges)

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| | | | | | |
| File Listing: | | | | | |
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| 1 | | 0470_003D01_FINAL_design_application_27FEB2023.pdf | 115112 | yes | 2 |
| | | | 4baff6fcaf458edafa8ca1329fb585fa49705f4e | | |
| | Multipart Description/PDF files in .zip description | | | | |
| | Document Description | | Start | End | |
| | Specification | | 1 | 1 | |
| | Claims | | 2 | 2 | |
| Warnings: | | | | | |
| Information: | | | | | |
| 2 | Drawings-only black and white line drawings | 0470_003D01_FINAL_Drawing_s_27FEB2023.pdf | 1470965 | no | 4 |
| | | | 0dd5206ee7eabca53700a40eea3885e40c55a125 | | |
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| Information: | | | | | |
| 3 | Application Data Sheet | 0470_003D01_20230228_ADS.pdf | 2275919 | no | 8 |
| | | | 60c8571589015ee97947c6cc89fd705f83562f9f | | |
| Warnings: | | | | | |
| Information: | | | | | |
| 4 | Fee Worksheet (SB06) | 0470_003D01_20230228_Fee_Transmittal.pdf | 168267 | no | 1 |
| | | | 8bd4e8a577639790eaba54e4e82080ab825be202 | | |
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| Information: | | | | | |
| 5 | Oath or Declaration filed | 0470-003D01_20230228_EXECUTED_Combined_Assignment_Declaration.pdf | 1263183 | no | 5 |
| | | | c44c5bb8a6ebb1f003fe7dc21aefe19cd1c61c4f6 | | |
| Warnings: | | | | | |
| Information: | | | | | |

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|---|---|---|--|----|---|
| 6 | Assignee showing of ownership per 37 CFR 3.73 | 0470_003D01_form-aia0096.pdf | 195325 | no | 3 |
| | | | bedea86ed1b2f49c7f9db60423962b551d2083b8 | | |
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| Information: | | | | | |
| 7 | Transmittal of New Application | 0470_003D01_20230228_Design_Transmittal.pdf | 409574 | no | 2 |
| | | | ddb6f9ee9553fc3ae4cc2790bd94493a8237d5a2 | | |
| Warnings: | | | | | |
| Information: | | | | | |
| 8 | Fee Worksheet (SB06) | fee-info.pdf | 43572 | no | 2 |
| | | | 45a2ed464ebecf829aaab4ccb02efdd6e8668985 | | |
| Warnings: | | | | | |
| Information: | | | | | |
| Total Files Size (in bytes): | | | 5941917 | | |
| <p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p> | | | | | |

TIMBER MOISTURE GUARD

Preamble

I, Kenneth Carhart, have invented a new, original, and ornamental design for a TIMBER MOISTURE GUARD as set forth in the present specification, including the accompanying drawings.

Description of the Drawings

FIGURE 1 is a top perspective view of a timber moisture guard showing the new design.

FIGURE 2 is a bottom perspective view of the timber moisture guard of FIGURE 1.

FIGURE 3 and FIGURE 4 are left and right end views, respectively, of the timber moisture guard of FIGURE 1.

FIGURE 5 is a top view of the timber moisture guard of FIGURE 1.

FIGURE 6 is a bottom view of the timber moisture guard of FIGURE 1.

FIGURE 7 is a left edge view of the timber moisture guard of FIGURE 1.

FIGURE 8 is a right edge view of the timber moisture guard of FIGURE 1.

FIGURE 9 is top plan view of multiple timber moisture guards of FIGURE 1 in an environment of its customary use.

FIGURE 10 is a close-up view of the area denoted by reference numeral 10 in FIGURE 9 showing the timber moisture guards of FIGURE 1 in the environment of their customary use.

FIGURE 11 is a photo from a top edge side of the timber moisture guard of FIGURE 1 shown in an alternative rolled configuration.

Feature Description

The broken lines shown in the design of the TIMBER MOISTURE GUARD in FIGURES 9 and 10 are directed to environment and are for illustrative purposes only; the broken lines form no part of the claimed design. The section-break denoted by brackets in FIGURES 1 and 2 indicate variable length of the TIMBER MOISTURE GUARD where such section breaks are also shown at the center of each of FIGURES 5 through 8. Such section-breaks are solely provided to indicate variable length and form no part of the claimed design.

Claim:

The ornamental design for a TIMBER MOISTURE GUARD, as shown and described.

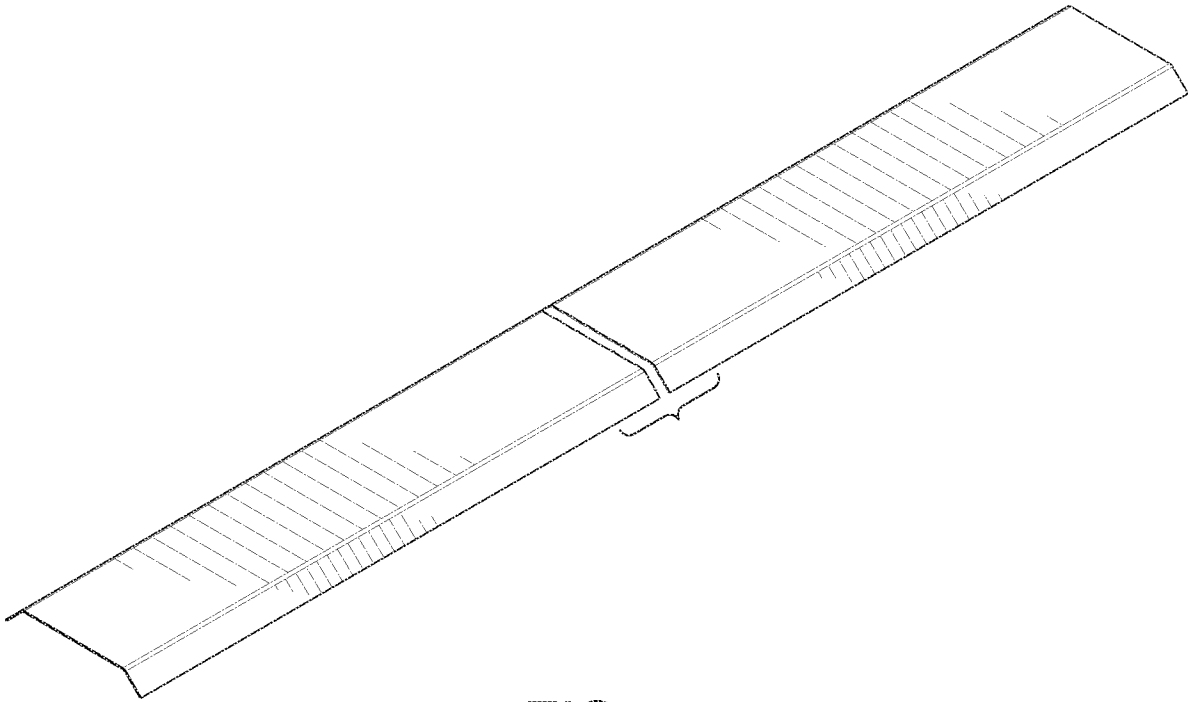


FIG.1

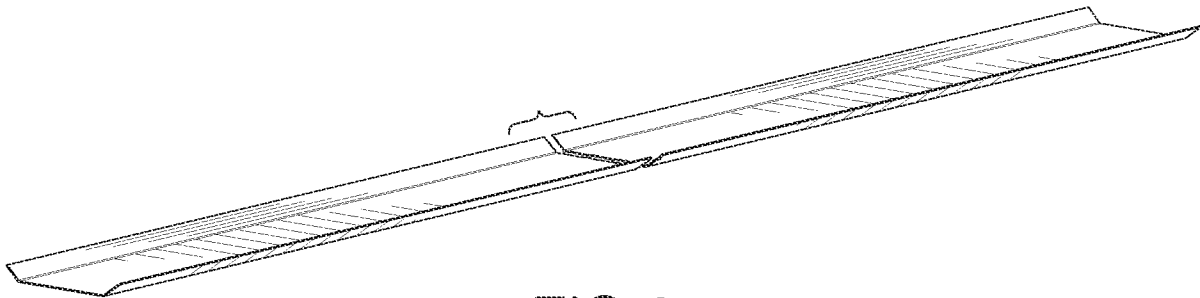


FIG.2



FIG.3

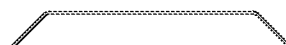


FIG.4

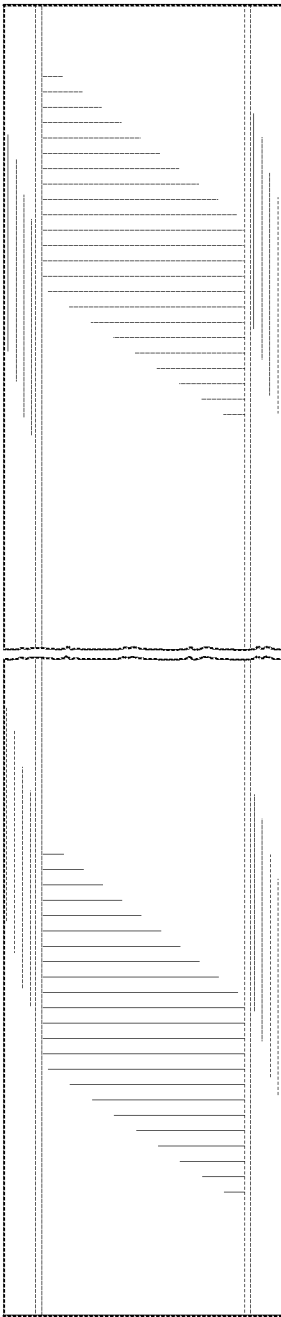


FIG. 5

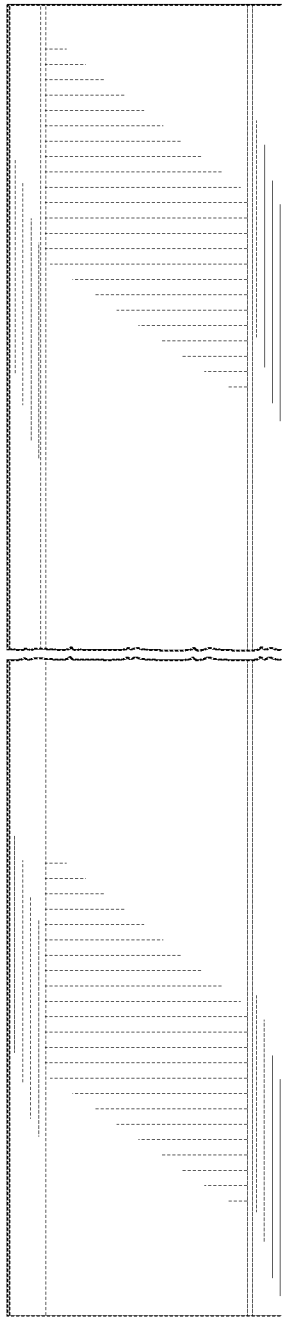


FIG. 6

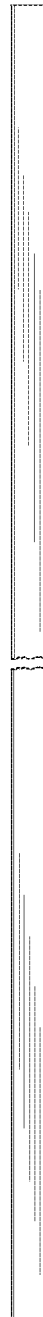


FIG. 7

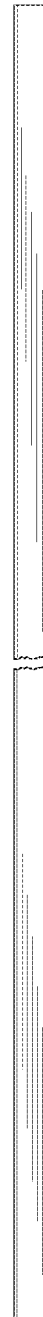


FIG. 8

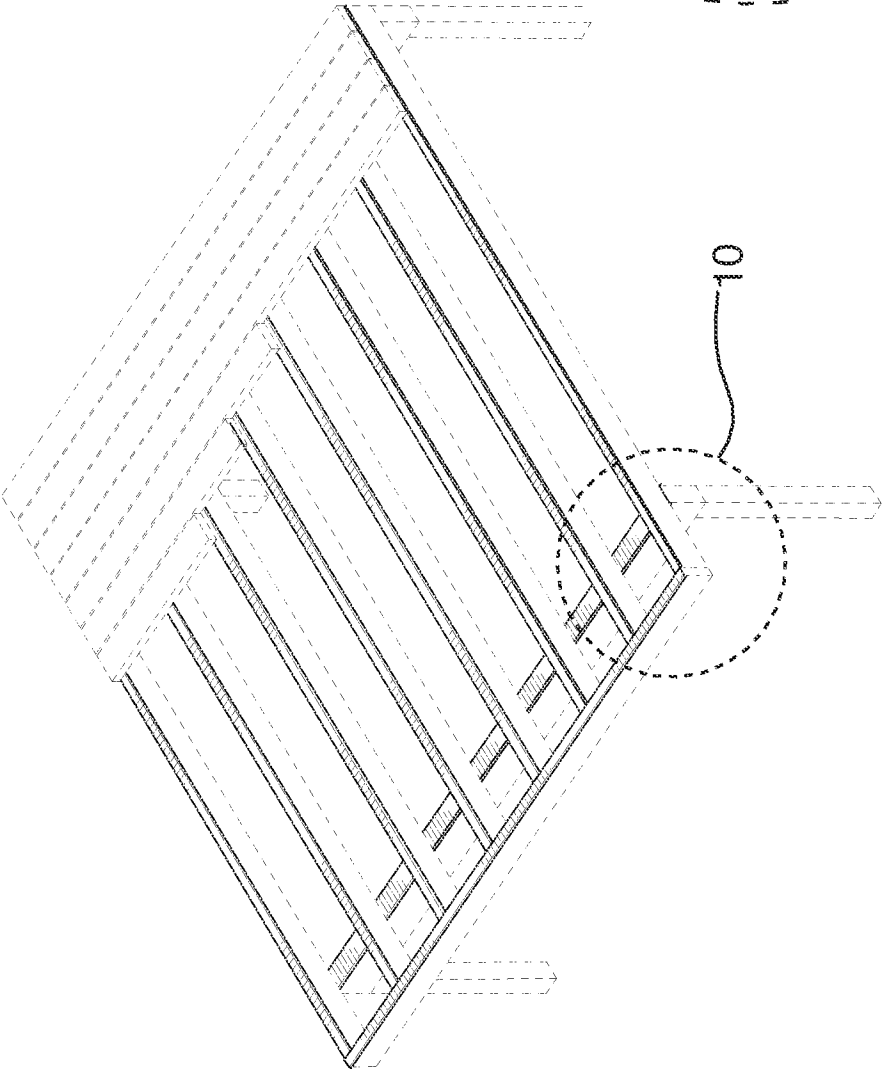


FIG. 9

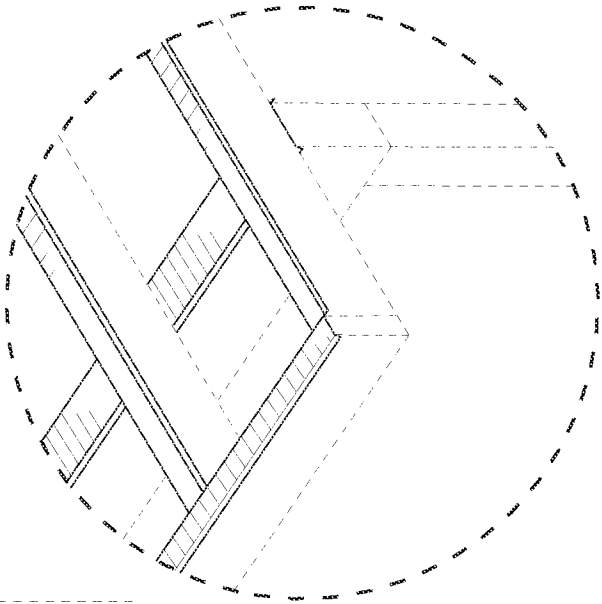


FIG. 10

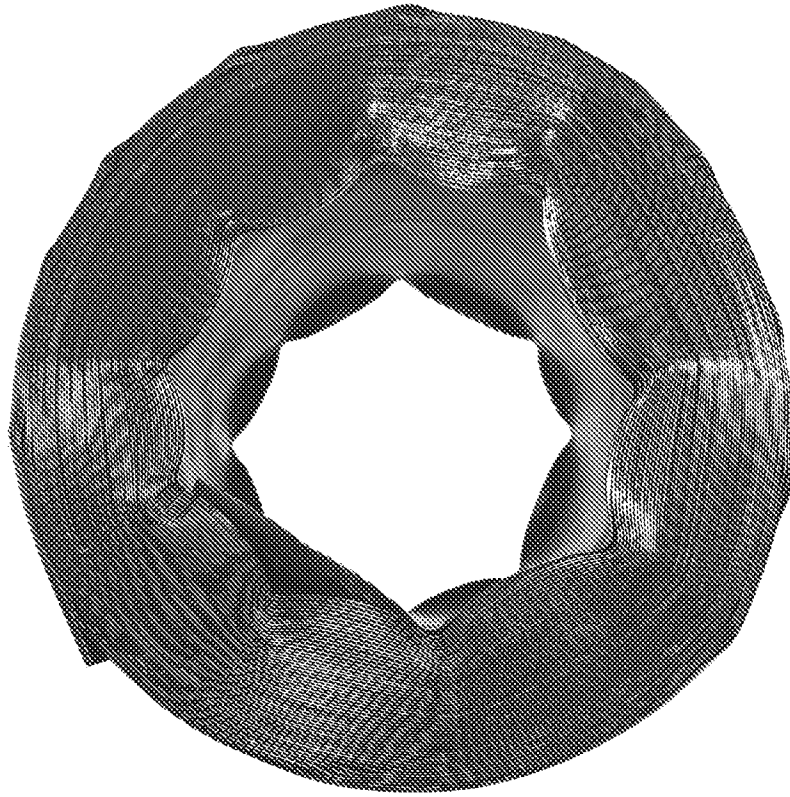


FIG.11

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | |
|---|-----------------------|------------------------|-------------|
| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | 0470-003D01 |
| | | Application Number | |
| Title of Invention | TIMBER MOISTURE GUARD | | |
| <p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.</p> <p>This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p> | | | |

Secrecy Order 37 CFR 5.2:

| | |
|--------------------------|---|
| <input type="checkbox"/> | Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.) |
|--------------------------|---|

Inventor Information:

| | | | | | | |
|--|--------------|----------------|-------------|----------------------|------------------------|--|
| Inventor | 1 | | | | Remove | |
| Legal Name | | | | | | |
| Prefix | Given Name | Middle Name | Family Name | Suffix | | |
| | Kenneth | C. | CARHART | | | |
| Residence Information (Select One) • <input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service | | | | | | |
| City | Skandia | State/Province | MI | Country of Residence | US | |
| Mailing Address of Inventor: | | | | | | |
| Address 1 | 9256 US 41 S | | | | | |
| Address 2 | | | | | | |
| City | Skandia | State/Province | MI | | | |
| Postal Code | 49885 | Country | US | | | |
| All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. | | | | | | |
| Add | | | | | | |

Correspondence Information:

| | | | |
|---|----------------------|---------------------------|------------------------------|
| Enter either Customer Number or complete the Correspondence Information section below. | | | |
| For further information see 37 CFR 1.33(a). | | | |
| <input type="checkbox"/> An Address is being provided for the correspondence Information of this application. | | | |
| Customer Number | 16404 | | |
| Email Address | admin@leberiplaw.com | Add Email | Remove Email |

Application Information:

| | | | |
|---|-----------------------|---|-------------------------------------|
| Title of the Invention | TIMBER MOISTURE GUARD | | |
| Attorney Docket Number | 0470-003D01 | Small Entity Status Claimed | <input checked="" type="checkbox"/> |
| Application Type | Nonprovisional | | |
| Subject Matter | Design | | |
| Total Number of Drawing Sheets (if any) | 4 | Suggested Figure for Publication (if any) | |

| | | | |
|---|-----------------------|------------------------|-------------|
| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | 0470-003D01 |
| | | Application Number | |
| Title of Invention | TIMBER MOISTURE GUARD | | |

Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

| | | |
|--|--------------------------|--|
| Application number of the previously filed application | Filing date (YYYY-MM-DD) | Intellectual Property Authority or Country |
| | | |

Publication Information:

☐ Request Early Publication (Fee required at time of Request 37 CFR 1.219)

☐ **Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

| | | | |
|--------------------|--|------------------------|---|
| Please Select One: | <input checked="" type="radio"/> Customer Number | US Patent Practitioner | <input type="radio"/> Limited Recognition (37 CFR 11.9) |
| Customer Number | 16404 | | |

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

| | | | |
|---|-----------------|--------------------------|------------------------------------|
| Prior Application Status | <div></div> | <div>Remove</div> | |
| Application Number | Continuity Type | Prior Application Number | Filing or 371(c) Date (YYYY-MM-DD) |
| <div></div> | <div></div> | | |
| Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button. | | | |

| | | | |
|---|-----------------------|------------------------|-------------|
| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | 0470-003D01 |
| | | Application Number | |
| Title of Invention | TIMBER MOISTURE GUARD | | |

Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)ⁱ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

| Application Number | Country ⁱ | Filing Date (YYYY-MM-DD) | Access Code ⁱ (if applicable) |
|--------------------|----------------------|--------------------------|--|
| | | | |

Additional Foreign Priority Data may be generated within this form by selecting the **Add** button.

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

☐ This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

| | | |
|---|------------------------|-------------|
| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | 0470-003D01 |
| | Application Number | |
| Title of Invention | TIMBER MOISTURE GUARD | |

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant **must opt-out** of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. Priority Document Exchange (PDX) - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h)(1).

B. Search Results from U.S. Application to EPO - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby **grants the USPTO authority** to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

☐ A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

☐ B. Applicant **DOES NOT** authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

| | | | |
|---|-----------------------|------------------------|-------------|
| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | 0470-003D01 |
| | | Application Number | |
| Title of Invention | TIMBER MOISTURE GUARD | | |

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

| | | |
|---|--|--|
| Applicant | 1 | Remove |
| <p>If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.</p> <p style="text-align: right;">Clear</p> | | |
| <input checked="" type="radio"/> Assignee | Legal Representative under 35 U.S.C. 117 | Joint Inventor |
| Person to whom the inventor is obligated to assign. | | Person who shows sufficient proprietary interest |
| If applicant is the legal representative, indicate the authority to file the patent application, the inventor is: | | |
| <div style="border: 1px solid black; height: 20px; width: 100%;"></div> | | |
| Name of the Deceased or Legally Incapacitated Inventor: <div style="border: 1px solid black; height: 20px; width: 100%;"></div> | | |
| If the Applicant is an Organization check here. <input checked="" type="checkbox"/> | | |
| Organization Name | ROTBLOC LLC | |
| Mailing Address Information For Applicant: | | |
| Address 1 | 63003 Plateau Drive | |
| Address 2 | Suite 3 | |
| City | Bend | State/Province OR |
| Country | US | Postal Code 97701 |
| Phone Number | | Fax Number |
| Email Address | | |
| Additional Applicant Data may be generated within this form by selecting the Add button. Add | | |

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

| | | | |
|---|-----------------------|------------------------|-------------|
| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | 0470-003D01 |
| | | Application Number | |
| Title of Invention | TIMBER MOISTURE GUARD | | |

| | | | | |
|--|----------------------|----------------------|----------------------|---------------------------------------|
| Assignee | 1 | | | |
| Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication. | | | | |
| | | | | <input type="button" value="Remove"/> |
| If the Assignee or Non-Applicant Assignee is an Organization check here. | | | | <input type="checkbox"/> |
| Prefix | Given Name | Middle Name | Family Name | Suffix |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Mailing Address Information For Assignee including Non-Applicant Assignee: | | | | |
| Address 1 | <input type="text"/> | | | |
| Address 2 | <input type="text"/> | | | |
| City | <input type="text"/> | State/Province | <input type="text"/> | |
| Country ⁱ | <input type="text"/> | Postal Code | <input type="text"/> | |
| Phone Number | <input type="text"/> | Fax Number | <input type="text"/> | |
| Email Address | <input type="text"/> | | | |
| Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button. | | | | <input type="button" value="Add"/> |

Signature:

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the **INITIAL** filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).

This Application Data Sheet **must** be signed by a patent practitioner if one or more of the applicants is a **juristic entity** (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, **all** joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of **all** joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

| | | | | |
|---|--------------------|-----------|-------------------|------------------------------------|
| Signature | /Dennis R. Haszko/ | | Date (YYYY-MM-DD) | 2023-02-28 |
| First Name | Dennis R. | Last Name | Haszko | Registration Number |
| | | | | 39,575 |
| Additional Signature may be generated within this form by selecting the Add button. | | | | <input type="button" value="Add"/> |

| | | | |
|---|-----------------------|------------------------|-------------|
| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | 0470-003D01 |
| | | Application Number | |
| Title of Invention | TIMBER MOISTURE GUARD | | |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

| | | | |
|---|--------------------|--------------------------|---------------------|
| FEE TRANSMITTAL | | Complete if known | |
| | | Application Number | |
| | | Filing Date | |
| <input checked="" type="checkbox"/> Applicant asserts small entity status. See 37 CFR 1.27. | | First Named Inventor | CARHART, Kenneth C. |
| <input type="checkbox"/> Applicant certifies micro entity status. See 37 CFR 1.29. Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously. | | Examiner Name | |
| | | Art Unit | |
| TOTAL AMOUNT OF PAYMENT | (\$) 408.00 | Practitioner Docket No. | 0470-003D01 |

METHOD OF PAYMENT (check all that apply)
☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 505576 Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to (check all that apply):

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**
☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayment of fee(s)
under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES** (U = undiscounted fee; S = small entity fee; M = micro entity fee)

| Application Type | FILING FEES | | | SEARCH FEES | | | EXAMINATION FEES | | | Fees Paid (\$) |
|------------------|-------------|--------|--------|-------------|--------|--------|------------------|--------|--------|----------------|
| | U (\$) | S (\$) | M (\$) | U (\$) | S (\$) | M (\$) | U (\$) | S (\$) | M (\$) | |
| Utility | 320 | 128* | 64 | 700 | 280 | 140 | 800 | 320 | 160 | |
| Design | 220 | 88 | 44 | 160 | 64 | 32 | 640 | 256 | 128 | 408 |
| Plant | 220 | 88 | 44 | 440 | 176 | 88 | 660 | 264 | 132 | |
| Reissue | 320 | 128 | 64 | 700 | 280 | 140 | 2,320 | 928 | 464 | |
| Provisional | 300 | 120 | 60 | 0 | 0 | 0 | 0 | 0 | 0 | |

* The \$128 small entity filing fee for a utility application is further reduced to \$64 for a small entity applicant who files the application via Patent Center or EFS-Web.

2. EXCESS CLAIM FEES

| Fee Description | Undiscounted Fee (\$) | Small Entity Fee (\$) | Micro Entity Fee (\$) |
|--|-----------------------|-----------------------|-----------------------|
| Each claim over 20 (including Reissues) | 100 | 40 | 20 |
| Each independent claim over 3 (including Reissues) | 480 | 192 | 96 |
| Multiple dependent claims | 860 | 344 | 172 |
| Total Claims | | | |
| -20 or HP = _____ x _____ = _____ | | | |
| HP = highest number of total claims paid for, if greater than 20. | | | |
| Indep. Claims | | | |
| -3 or HP = _____ x _____ = _____ | | | |
| HP = highest number of independent claims paid for, if greater than 3. | | | |

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$420 (\$168 for small entity) (\$84 for micro entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|---|--------------|--|----------|---------------|
| _____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____ | | | | |

4. OTHER FEE(S)

Non-English specification, \$140 fee (\$56 for small entity) (\$28 for micro entity)

Non-electronic filing fee under 37 CFR 1.16(t) for a utility application, \$400 fee (\$200 small or micro entity)

Other (e.g., late filing surcharge): _____

| | | | |
|---------------------|--------------------|--|-------------------------------|
| SUBMITTED BY | | | |
| Signature | /Dennis R. Haszko/ | Registration No. (Attorney/Agent) 39,575 | Telephone 613.702.6371 |
| Name (Print/Type) | Dennis R. Haszko | | Date 02/28/2023 |

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995, unless the information collection has a currently valid OMB Control Number. The OMB Control Number for this information collection is 0651-0032. Public burden for this form is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the Chief Administrative Officer, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 or email informationCollection@uspto.gov. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.** If filing this completed form by mail, send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ASSIGNMENT and DECLARATION

I, the undersigned,

Kenneth C. CARHART – 9256 US 41 S., Skandia, MI, 49885, US

("Assignor"), has made certain inventions or discoveries (or both) set forth in a design patent application entitled "**TIMBER MOISTURE GUARD**", identified as Attorney Docket No. 0470-003D01 (the "Application"), the Application of which is being executed on even date herewith and is about to be filed in the United States Patent Office; this assignment including said application. In the event that the execution date, filing date and/or Application No. are not entered or are incorrect at the time Assignor executed this document, Assignor hereby authorizes and requests an attorney of Assignee to insert the information or make the correction.

ROTBLOC LLC is an Oregon limited liability company, having a place of business at **63003 Plateau Drive, Suite 3, Bend, Oregon 97701, US** and which, together with its successors and assigns ("Assignee"), is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited.

For valuable consideration furnished by Assignee to Assignor(s), receipt and sufficiency of which Assignor hereby acknowledge, I/Assignor hereby, without reservation:

1. Assign, transfer and convey to Assignee the entire right, title and interest in and to said inventions and discoveries, said Application, any and all other patent applications (including without limitation utility models, provisionals, designs, plants and any other such intellectual property) on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, reissue, re-examination and convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent and any provisional

applications from which priority is claimed in said patent applications and said Letters Patent.

2. Authorize Assignee to file said patent applications in any or all countries on any or all of said inventions and discoveries in the name of Assignor or in the name of Assignee or otherwise as Assignee may deem advisable, under any international conventions, international treaties, or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein or otherwise as Assignee may direct;

4. Confirm that Assignor has not knowingly conveyed to others any right in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries, and have not executed and will not execute any agreement in conflict herewith; and

5. Bind heirs, legal representatives and assigns of Assignor, as well as Assignor, to do, upon Assignee's request, all acts reasonable to assure that said inventions and discoveries, said patent applications and said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by Assignor or heirs, legal representatives and assigns of Assignor if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to Assignor relating to said inventions and discoveries or the history thereof; and to furnish Assignee, upon request, with any and all relevant documents, photographs, models, samples and other physical exhibits in control of Assignor or in the control of heirs, legal representatives or assigns of Assignor.

Assignor accepts the terms of this Assignment as indicated by their signature below.

Declaration

Further, each Assignor individually, as a named inventor, hereby declares:

- a) The above-identified Application was made or authorized to be made by me;
- b) I believe I am the original inventor or an original joint inventor of a claimed invention in the above-identified Application; and
- c) I hereby acknowledge that any willful false statement made in this declaration is punishable under § 1001 of Title 18 of the United States Code by fine or imprisonment of not more than five (5) years, or both.

Each Assignor individually, as a named inventor, also states:

I have reviewed and understand the contents of the above-identified Application.

I acknowledge the duty to disclose to the United States Patent Office all information known to me to be material to the patentability of the above-identified Application.

IN WITNESS WHEREOF, I hereto set my hand and seal at Skandia, MI
this 28th day of February 2023



Name: **Kenneth C. CARHART**

Address: **9256 US 41 S.
Skandia, MI 49885, US**

WITNESS NAME:

DATE:

WITNESS NAME:

DATE:

Before me this _____ day of _____, 2023, personally appeared **Kenneth C. Carhart** known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that she/he executed the same as her/his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires:

IN WITNESS WHEREOF, I hereto set my hand and seal at Skandia, MI
this 28th day of February 2023



Name: Kenneth C. CARHART
Title: Chief Executive Officer
Company: ROTBLOC LLC
Company Address: 63003 Plateau Drive, Suite 3
Bend OR, 97701, US

WITNESS NAME:

DATE:

WITNESS NAME:

DATE:

Before me this _____ day of _____, 20_____, personally
appeared **Kenneth C. Carhart** known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that she/he executed the same as her/his free act and
deed for the purposes therein contained.

Notary Public

My Commission Expires:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: ROTBLOC LLC

Application No./Patent No.: _____ Filed/Issue Date: _____

Titled: TIMBER MOISTURE GUARDROTBLOC LLC, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.
2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):
- ☐ The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: _____ To: _____
- The document was recorded in the United States Patent and Trademark Office at
- Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
- The document was recorded in the United States Patent and Trademark Office at
- Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Dennis R. Haszko/

Signature

Dennis R. Haszko

Printed or Typed Name

28 FEB 2023

Date

39,575

Title or Registration Number

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.